TRUSTEE ASSET MANAGEMENT LTD

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REASON FOR FACT FIND:

NAME:	PARTNER'S NAME:
DATE OF BIRTH:	DATE OF BIRTH:
MARITAL STATUS:	
CHILDREN'S NAMES: Any other possible dependants, e.g. elderly relatives?	CHILDREN'S DATES OF BIRTHS:
ADDRESS: Post Code:	TEL NOS: Home: Business: Mobile: Partner's Business: Email:

CLIENT		PARTNER
Is this likely to change?	OCCUPATION	
	EMPLOYER'S NAME & ADDRESS	
Are your earnings/tax status likely to change in the future? If so, when and by how much?	SALARY And method of payment(s) plus other benefits	

	N.I. NOS	
	SMOKER	
Good/Fair/Poor	**HEALTH DETAILS**	**Good/Fair/Poor**

CURRENT INVESTMENT DETAILS AND THEIR PURPOSE:
(use schedule at back if necessary)

Do you need access to your capital ?

Have you recently received an inheritance or are you likely to?

CURRENT MORTGAGE DETAILS:

How is the property owned?

What are your plans for repaying the mortgage?

CURRENT LIFE ASSURANCE/PROTECTION DETAILS AND THEIR PURPOSE:
(use schedule at back if necessary)

What or who do you want/need to protect?

CURRENT PENSION DETAILS:
(use separate schedule if necessary)

When do you hope to retire?

What are you planning to use to generate an income in retirement?

ASSETS & LIABILITIES:

ASSETS (APPROX VALUE)	YOU	JOINT	PARTNER
Property – Main Residence (How owned?)			
Other Property			
Business Assets			
Pension plans Unopened Opened			
Cash Deposits Accounts			
ISAs Stocks & Shares Cash			
Endowments/Bonds			
Stocks/Shares/UT/IT			
Other Assets – Boat/Plane/Collections			
TOTAL ASSETS	£		

LIABILITIES	YOU	JOINT	PARTNER
Mortgage – Main Residence Term to Completion			
Mortgage – Other Property			
Bank Overdraft			
Unsecured Loan/HP Details?			
Other Liabilities			
Total Liabilities			
Total Net Worth			
Have you made a Will? Please record terms of wills below			YES/NO
Do you have a lasting Power of Attorney in place?			YES/NO

TERMS OF WILL(S)

Is Inheritance Tax a concern and do you want to consider inheritance tax planning? If not, why is this?

RISK

Investors take risk in order to try to improve their investment returns. However, the more risk you take also increases your chances of making a loss.

What does investment risk mean to you?

Overall would you describe yourself as:

Risk Avoider → **Cautious** → **Moderate Risk Taker** → **Gambler**

What is your previous investment experience?

Nothing → **Small Amount** → **Reasonable** → **Sophisticated**

How do you feel about the in vestments you have made to date and the returns achieved?

We need to match our recommendations to the level of risk that you are prepared to take. In respect of each area of advice you need, could you please answer the following:

SUBJECT OF ADVICE				
1. Risk (on a scale of 1 to 5) 2. Loss prepared to accept (0-100%) 3. How important is this to you (on a scale of 1-5) compared to other financial goals?				

MAJOR OBJECTIVES/CONCERNS:

What are your goals/concerns?

Any landmark dates, e.g. weddings, school fees?

Do you have any ethical/political views when investing?

DISPOSABLE INCOME CALCULATOR:

INCOME	Net of Tax	OUTGOINGS	Per Month
Earnings (1st Source)	£	Mortgage/Rent/Council Tax	£
Earnings (2nd Source)	£	Housekeeping(food/utility bills)	£
Unearned (Investments/Maintenance)	£	Regular loan/credit card & HP	£
From State Pensions	£	Life Assurance & Pension Contributions	£
From Company Pension Scheme	£	General Insurance (House/Car)	£
From Private Pensions	£	Maintenance/Alimony Payments	£
TOTAL NET MONTHLY INCOME	£	School Fees	£
Less Monthly Outgoings	£	Social (include gifts and holidays)	£
DISPOSABLE INCOME	£	TOTAL MONTHLY OUTGOINGS	£

Do you think the amount you spend will change in the future?

MISCELLANEOUS: **Money Laundering Verification:** **IDENTITY:** **ADDRESS:**	
PROFESSIONAL ADVISER DETAILS:	
SOLICITOR:	**ACCOUNTANT:**

I have received a Terms of Business letter, Business Card and Key facts documents from my adviser.

Client's Signature..

Client's Signature..

Adviser's Signature...

Date..